

Amendment Form for Fund/Debenture

Please submit a separate form if change of data in each account is not the same.

ธนาคารกสิกรไทย
开泰银行 KASIKORNBANK

Part 1: Information of Securities Holder

Name of Securities Holder _____

(In case of juristic person, please specify the name of juristic person.)

Contact information

Mobile phone number

_ _ _ _ _ _ _ _ _ _

Email Address

_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _

Part 2: Service Information

I wish to request that KASIKORNBANK PCL ("KBank") take the following actions. (Please fill out the form and attach documents as specified in the table of required documents.)

Change fund account number and securities name

☐ KAsset fund account numbers – all accounts (Account opened with KBank only)☐ KAsset fund account numbers as specified

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_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _

☐ Other asset management companies' fund account

_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _

numbers as specified:(Account opened with KBANK only

_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _

☐ Securities name

Change information:

☐ Change title/name/surname to: Title _____ Name _____ Surname _____

If there is a middle name, please fill out in the Surname space, followed by space and then surname.

In case of debenture and Property Fund, please, please scan and submit the original Securities Certificate to Registrar and Mutual Fund Selling Agent Support Unit, 19th Floor, KASIKORNBANK PCL, Rat Burana Main Branch, No. 1, Soi Rat Burana 27/1, Rat Burana Road, Rat Burana Sub-district, Rat Burana District, Bangkok 10140

☐ Change phone number to _____☐ Change email address to _____☐ Change juristic person name to _____☐ Change contact person of juristic person to _____

*For KAsset fund accounts: In case of change in mobile phone number and email address, information of SMS Fund Alert and K-Mutual Fund Reports will also be changed accordingly.

Change address

☐ Per identity documents

Details of contact address _____ No. _____ Building _____

Floor _____ Room _____ Village _____ Alley / Soi _____

Road _____ Sub-district _____ District _____

Province _____ Postal code _ _ _ _ _

<input type="checkbox"/> Contact/mail address Details of contact address _____ No. _____ Building _____ Floor _____ Room _____ Village _____ Alley / Soi _____ Road _____ Sub-district _____ District _____ Province _____ Postal code _____	
<input type="checkbox"/> Taxpayer identification number _____	
<input type="checkbox"/> Change account for receiving proceeds from investment unit redemption/dividend of KAsset fund to: Deposit account of _____ No. _____	
<input type="checkbox"/> Change account for receiving proceeds from investment unit redemption/dividend of other asset management companies to: KBank deposit account No. _____	
<input type="checkbox"/> Change account for receiving interest/principal payment to: Deposit account of _____ No. _____	
<input type="checkbox"/> Close fund account	
<input type="checkbox"/> Change other information _____	

I hereby agree and give consent to the Bank to collect and use any of my personal data and/or information for the purpose of (i) providing services, (ii) fulfilling my request before providing the services, (iii) assigning third parties to support the services, such as information technology, communications and collection, (iv) assignment of rights and/or obligations and/or (v) solving complaints. Moreover, I further agree and give consent to the Bank to disclose said information, whether in or outside the country, to the securities issuer, asset management company, securities registrar, debenture holder's representative, investment unit selling agents, outsourcing service providers, the Bank's agents, sub-contractors, co-branding alliances, prospective assignees, assignees and cloud computing service providers, and also agree and give consent to the aforementioned parties to collect, use and/or disclose said information for the same purposes. For more information, please see the Privacy Policy: www.kasikornbank.com/en/privacy-policy

In the event that I disclose another person's personal data to the Bank for the aforementioned purposes, I hereby represent and warrant to the Bank that I have obtained consent from such person or have a legal basis to disclose such person's personal data to the Bank and have informed such person of the details of the collection, use and/or disclosure of personal data pursuant to the aforementioned Privacy Policy.



Signed _____ Securities Holder/Authorized Signatory
 (_____) Legible handwriting
 Date ____ / ____ / ____

For Bank Use
<p><u>Bank officer has signed to certify that</u></p> <ul style="list-style-type: none">• Identity verification of securities holder has already been undertaken.• Accuracy and completeness of information, required documents and related evidence as well as securities holder's signature has been verified.
For Branch Officer / Selling Agent
<p>Name/Surname_____ (legible handwriting) Employee Code_____</p> <p>Telephone_____</p> <p>The document's completeness and accuracy as well as the securities holder's identity have been verified.</p> <p>Name/Surname_____ (legible handwriting) Employee Code_____</p> <p>Telephone_____</p> <p>Date _____</p> <p>The document's completeness and accuracy have been verified.</p>

Note: For KAsset funds, in case of change in name/surname and account for receiving proceeds from investment unit redemption/dividend, the relevant branch is required to cancel the previous fund passbook and issue a new one with the securities holder's signature affixed at the back of the passbook.

Required Documents

Note: Copies of all documents, including the required documents for each service, must be signed between parallel lines to certify that they are true copies:

1. Identity documents
2. Original securities certificate (in case of change in name/surname of holder of debenture and Property Fund)
3. Copy of taxpayer identification number certification (if taxpayer identification number is required)
4. Copy of the front side of savings passbook (in case of change in the account number for receiving proceeds from investment unit redemption/dividend or interest/principal payment)

Identity documents refer to

- **For individuals:** Copy of national ID card (front side only) or copy of alien registration card or passport (foreigners) (documents must remain valid as of transaction date)
- **For juristic persons:** Copy of certificate of registration or establishment documents (issued not more than three months prior to submission) or juristic person certificate issued by government agencies and identity documents of authorized signatory
 - Copy of national ID card (front side only) or a copy of alien registration card or passport (foreigners) of authorized signatory (documents must remain valid as of transaction date)
 - In case of authorization: Power of attorney and copy of national ID card (front side only) or copy of alien registration card or passport (foreigners) of grantor and grantee (documents must remain valid as of transaction date)

Proof of change in name or surname issued by government agencies refers to

- **For individuals:** Copy of marriage registration certificate or copy of certificate of name/surname change registration
- **For juristic persons:** Copy of certificate of company name change registration issued by the Ministry of Commerce not more than three months prior to submission